

**REPORT OF THE TRIAL JUDGE
IN FIRST DEGREE MURDER CASES¹**

IN THE _____ COURT OF _____ COUNTY

STATE OF TENNESSEE

Case No. _____

v.

Sentence of Death ()

or

Life Without Parole ()

or

Life Imprisonment ()

DEFENDANT'S NAME HERE
(Defendant)

A. DATA CONCERNING THE TRIAL OF THE OFFENSE

1. a. Status of the Case: Original Trial () Retrial/Resentencing ()

b. Brief summary of the facts of the homicide, including the means used to cause death:

c. Location/scene of crime:

- () victim's residence
- () defendant's residence or place of business/employment
- () victim's place of business/employment
- () hotel/motel
- () commercial establishment (bar, store, restaurant, gas station, etc.)
- () street, sidewalk, or parking lot
- () park or school grounds
- () field, woods, or rural area
- () jail or prison
- () public or private vehicle
- () Other: _____

¹ A separate report must be submitted for each defendant convicted under T.C.A. § 39-13-202 irrespective of the sentence received. This includes defendants who have pleaded guilty to first degree murder.

- d. Motivation for the killing, if known (select all that apply):
- long term hatred of victim
 - obsession/control
 - revenge/retaliation
 - racial, religious or other bias or animosity
 - pecuniary or other gain
 - sexual or other pleasure or gratification for the killing
 - jealousy
 - silence a witness
 - escape apprehension, trial, punishment, or confinement for another offense
 - none apparent, but evidence suggests that action was drug-influenced
 - none apparent/senseless killing/apparent indifference to life
 - unreasonable self-defense or defense of others
 - false belief due to mental illness
 - other: _____
 - unknown

- e. First degree murder conviction type:
- A premeditated and intentional killing of another
 - A killing of another in perpetration of or attempt to perpetrate any:
 - first degree murder
 - act of terrorism
 - arson
 - rape
 - robbery
 - burglary
 - theft
 - kidnapping
 - aggravated child abuse
 - aggravated child neglect
 - rape of a child
 - aggravated rape of a child
 - aircraft piracy
 - A killing of another committed as the result of the unlawful throwing, placing, or discharging of a destructive device or bomb

2. Separate Offenses:
- a. Were other offenses tried in the same trial? Yes () No ()
 - b. If yes, list those offenses, disposition, and punishment:
3. How did the defendant plead? Guilty () Not Guilty ()

If the defendant pleaded guilty with no sentencing hearing, skip to Section B.

4. Was guilt determined with or without a jury? With () Without ()
5. Did you as “thirteenth juror” find the defendant was guilty beyond a reasonable doubt? Yes () No ()
6. Did the defendant waive jury determination of punishment? Yes () No ()
7. a. Did the State file a notice of intent to seek the death penalty? Yes () No ()
- b. Did the State file a notice of intent to seek life imprisonment without parole? Yes () No ()
- c. Did the State withdraw its notice of intent to seek the death penalty, either formally or informally? Yes () No ()
- d. Did the State withdraw its notice to seek life imprisonment without parole either formally or informally? Yes () No ()
- e. Who sentenced the defendant? Judge () Jury () Automatic/life sentence ()
- f. What sentence was imposed? Death () Life Without Parole () Life ()
- g. If life imprisonment was imposed, was it imposed as a result of a hung jury? Yes () No ()
8. Was victim impact evidence introduced at trial? Yes () No ()
9. Aggravating Circumstances, T.C.A. § 39-13-204(i)
- a. Were statutory aggravating circumstances found? Yes () No ()
- b. Which of the following statutory aggravating circumstances were instructed, and which were found? (Please note the version of the statutory aggravating circumstance instructed in the blanks when applicable; i.e., the 1989 version or the 1995 version)

	Instructed	Found
(1) Youth of victim _____	()	()
(2) Prior convictions _____	()	()
(3) Risk of death to others _____	()	()
(4) Murder for remuneration _____	()	()
(5) Heinous, atrocious, or cruel _____	()	()
(6) Avoid arrest or prosecution _____	()	()
(7) Committed in conjunction with another felony _____	()	()
(8) Committed while in custody _____	()	()
(9) Victim was member of law enforcement, etc. _____	()	()
(10) Victim was judge, district attorney, etc. _____	()	()
(11) Victim was elected official, etc. _____	()	()
(12) Mass Murder _____	()	()
(13) Mutilation of the body after death _____	()	()
(14) Elderly or particularly vulnerable victim _____	()	()
(15) Committed in the course of an act of terrorism _____	()	()
(16) Committed against a pregnant woman, and the defendant intentionally killed the victim knowing she was pregnant	()	()
(17) Committed at random and the reasons for the killing are not obvious or easily understood _____	()	()

(18) Other² _____

c. Relate any relevant and material details of the aggravating circumstances found by the jury that were outside the norm, either so as to favor leniency or to favor severity of punishment:

10. Mitigating Circumstances, T.C.A. § 39-13-204(j)

a. Were mitigating circumstances raised by the evidence? Yes () No ()

b. If so, what mitigating circumstances were raised by the evidence?

	Yes	No
(1) No significant prior criminal history	()	()
(2) Extreme mental or emotional disturbance	()	()
(3) Participation or consent by victim	()	()
(4) Belief that conduct justified	()	()
(5) Minor accomplice	()	()
(6) Extreme duress or substantial domination	()	()
(7) Youth or advanced age of defendant	()	()
(8) Mental disease or defect or intoxication	()	()
(9) Other (please explain) ³ : _____	()	()

c. Relate any relevant and material details of the mitigating circumstances supported by the evidence that were outside the norm, either so as to favor leniency or to favor severity of punishment:

d. If tried with a jury, was the jury instructed regarding all the mitigating circumstances requested by the defense?

Yes () No ()

² In this space, the trial court should list by statutory designation any statutory aggravating factor that was instructed, but is not in the prior list.

³ In the space provided, please list all nonstatutory factors raised by the evidence.

If no, list which circumstances were not included as mitigating circumstances and explain why such circumstances were omitted: _____

11. Was there any evidence that at the time of the offense the defendant was under the influence of narcotics, dangerous drugs, or alcohol which actually contributed to the offense? Yes () No ()

If yes, please explain: _____

12. Brief impression of the trial judge as to the conduct and/or demeanor of defendant at trial and sentencing that would indicate remorse, lack of remorse, mental health issues, or any other characteristics relevant to punishment :

B. DATA CONCERNING THE DEFENDANT⁴

1. Name: _____ 2. Birth Date _____
Last, First, Middle month/date/year

3. Sex: _____

4. Marital Status: () Never Married
() Married
() Divorced
() Spouse deceased
() Unknown

5. Race: () American Indian or Alaska Native
() Asian
() Black or African American
() Native Hawaiian or other Pacific Islander
() White

6. Ethnicity: Is the defendant of Hispanic or Latino:
Yes () No ()

7. Children: Number: _____
Ages: _____

⁴ Defense counsel may omit any information that may, if disclosed, impair the client's interests.

8. Parents: Father—living? Yes () No () Unknown ()
Mother—living? Yes () No () Unknown ()
9. Education: Highest Grade or Level Completed: _____
Unknown ()
- 10 a. Was any evidence presented regarding an IQ score of the defendant?
Yes () No ()
- b. If yes, what were the results? IQ below 75 _____
IQ 76 to 85 _____
IQ 86-100 _____
IQ above 100 _____
- 11 a. Was the issue of defendant’s intellectual disability under T.C.A. § 39-13-203 raised?
Yes () No ()
- b. If so, did the court find that the defendant had an intellectual disability as defined in T.C.A. § 39-13-203(a)?
Yes () No ()
- 12 a. Was a psychiatric or psychological evaluation performed that is part of the trial record?
Yes () No ()
- b. If yes, summarize pertinent psychiatric or psychological information and/or diagnoses revealed by such evaluation: _____

13. Employment record of defendant at or near time of offense, including (if known) type of job, pay, dates job held and reason for termination:

- () Unknown
14. Defendant’s military history, including type of discharge:

- () Unknown

- 15 a. Does the defendant have a record of prior convictions? Yes () No ()
- b. If yes, list the offenses, the dates of the offenses, and the sentences imposed:
- | | Offense | Date | Sentence |
|----|---------|-------|----------|
| 1. | _____ | _____ | _____ |
| 2. | _____ | _____ | _____ |
| 3. | _____ | _____ | _____ |
| 4. | _____ | _____ | _____ |
| 5. | _____ | _____ | _____ |
| 6. | _____ | _____ | _____ |
16. Was the defendant a resident of the community where the homicide occurred? Yes () No ()
17. Nature of defendant's role in offense:
- () committed homicidal act together with a co-defendant
 - () primary assailant
 - () other _____
18. Any other noteworthy/significant information about the defendant:
- _____
- _____
- _____

C. DATA CONCERNING VICTIM, CO-DEFENDANTS, AND ACCOMPLICES

1. Age of victim: _____ 2. Sex: _____
3. Marital Status: () Never Married
 () Married
 () Divorced
 () Spouse deceased
 () Unknown
4. Race: () American Indian or Alaska Native
 () Asian
 () Black or African American
 () Native Hawaiian or other Pacific Islander
 () White
5. Ethnicity: Was the victim of Hispanic or Latino:
 Yes () No ()

6. Children: Number: _____
Ages: _____
7. Parents: Father—living? Yes () No () Unknown ()
Mother—living? Yes () No () Unknown ()
8. Education: Highest Grade or Level Completed: _____
Unknown ()
9. Employment at time of offense: _____
Unknown ()
10. Describe the relationship between the defendant and the victim (e.g., family member, employer, friend, none, etc.): _____

11. Was the victim a resident of the community where the homicide occurred?
Yes () No ()
12. Was the victim held hostage during the crime?
_____ Yes—Less than one (1) hour
_____ Yes—More than one (1) hour
_____ No
If yes, give details: _____

- 13 a. Describe the physical harm and/or injuries inflicted on the victim:

- b. Was the victim tortured? If so, state the nature of the torture:

14. Co-defendants:
- a. Were there any co-defendants in the trial? Yes () No ()
- b. If yes, what conviction(s) and sentence(s) were imposed on them?

- c. Nature of co-defendant's role in offense:
 - () committed homicidal act together
 - () primary assailant
 - () other _____

d. Any additional comments concerning co-defendant(s):

e. Did the co-defendant(s) testify at the defendant's trial Yes () No ()

15. Other Accomplices:

a. Were there any persons not tried as co-defendants who the evidence showed participated in the commission of the offense with the defendant?
Yes () No ()

b. If yes, state the nature of their participation, whether any criminal charges have been filed against such persons as a result of their participation, and the disposition of such charges, if known:

c. Did the accomplice(s) testify at the defendant's trial? Yes () No ()

D. REPRESENTATION OF THE DEFENDANT

1. Was the defendant represented by counsel at trial: Yes () No/Pro Se ()

2. If the defendant was Pro Se at trial:

a. Was the defendant represented at any time by counsel? Yes () No ()

b. If the defendant did have prior representation, list dates of representation and answer the remaining questions as they relate to prior counsel. Attach additional sheets if necessary to include information on each attorney.

From _____ to _____

From _____ to _____

c. Did the defendant have elbow counsel at trial? Yes () No ()

3. How many attorneys represented the defendant? _____

(If more than one counsel served, or the defendant has prior counsel, other than

those at trial, answer the following questions as to each counsel and attach a copy for each to this report)

4. Name of counsel: _____

5. In what role did counsel serve?
Lead/First Chair ()
Co-Counsel/Second Chair ()
Elbow Counsel (pro se defendant) ()
6. Date counsel secured: _____

7. How was counsel secured (may check more than one):
a. Retained by defendant ()
b. Appointed by Court ()
c. Public Defender ()
d. Pro Bono ()
8. If counsel was appointed by court, was it because:
a. Defendant unable to afford counsel ()
b. Defendant refused to secure counsel ()
c. Elbow Counsel (Pro Se defendant) ()
d. Other (explain) ()

9. How many years has counsel practiced law:
a. 0 to 5 ()
b. 5 to 10 ()
c. Over 10 ()
10. What is the nature of counsel's practice?
a. Mostly civil ()
b. General ()
c. Mostly criminal ()
11. Did counsel serve throughout the trial? Yes () No ()
12. If not, explain in detail: _____

13. Other significant data about defense representation: _____

E. GENERAL CONSIDERATIONS

1. a. Were jurors selected from the same county where offense occurred?
Yes () No ()
- b. If no, from which county were the jurors selected? _____
- c. Was a change of venue requested? Yes () No ()
- d. If yes, was it granted? Yes () No ()
- e. Reasons for change, if granted:

2. How many alternate jurors were selected? _____
3. What percentage of the population, according to the most recent census, of the county from which the jury was selected is the same race as the defendant?
- a. Under 10% ()
- b. 10% to 25% ()
- c. 25 % to 50% ()
- d. 50% to 75% ()
- e. 75% to 90% ()
- f. Over 90% ()
4. Were members of the defendant's race represented on the jury? Yes () No ()
5. Note the number of jurors/alternate jurors of each race (if race of a juror/alternate juror is unknown, please note that below as well):
- | Jurors | Alternate Jurors | |
|--------|------------------|---|
| _____ | _____ | American Indian or Alaska Native |
| _____ | _____ | Asian |
| _____ | _____ | Black or African American |
| _____ | _____ | Native Hawaiian or other Pacific Islander |
| _____ | _____ | White |
| _____ | _____ | Unknown |
6. Note the number of jurors/alternate jurors who are of Hispanic or Latino origin:
- | Jurors | Alternate Jurors |
|--------|------------------|
| _____ | _____ |
7. Note the number of jurors/alternate jurors of each sex:
- | Jurors | Alternate Jurors | |
|--------|------------------|--------|
| _____ | _____ | Male |
| _____ | _____ | Female |

F. CHRONOLOGY OF CASE

Elapsed Days

1. Date of offense _____
2. Date of arrest _____
3. Date trial began/guilty plea entered _____
4. Date sentence imposed _____
5. Date post-trial motions ruled on _____
6. Date trial judge's report completed _____
- *7. Date received by Supreme Court _____
- *8. Date sentence review completed _____
- *9. Total elapsed days _____
10. Other _____

*To be completed by Supreme Court

This report was submitted to the defendant's counsel and to the attorney for the State for such comments as either desired to make concerning its factual accuracy.

	State	Defense Counsel
1. Comments are attached	()	()
2. Had no comments	()	()
3. Has not responded	()	()

I hereby certify that I have completed this report to the best of my ability and that the information herein is accurate and complete.

Date	_____, Judge _____, Court of _____ County _____, Judicial District
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