#### DEPOSITION OF THOMAS V. TESTERMAN

JANUARY 12, 2010

IN THE TENNESSEE COURT OF THE JUDICIARY

FFR 02 2010

THE HONORABLE JOHN A. BELL : IN RE:

JUDGE, GENERAL SESSIONS COURT: Docketk Notice Courts

COCKE COUNTY, TENNESSEE

: M2009-02115-CJ-CJ-CJ

COMPLAINT OF DAVID PLEAU

: File No. : 08-3508

#### APPEARANCES:

FOR JOHN A. BELL:

William Gordon Ball, Esq. Allen W. McDonald, Esq.

Ball & Scott

550 Main Street, Suite 601 Knoxville, Tennessee 37902

FOR THE TENNESSEE COURT OF THE JUDICIARY:

Patrick J. McHale, Esq. J.S. "Steve" Daniel, Esq.

Disciplinary Counsel

The Tennessee Court of the Judiciary

503 North Maple Street

Murfreesboro, Tennessee 37130

ALSO PRESENT:

James T. LaRue Rachel Waterhouse

ORIGINAL

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#### STIPULATION

The deposition of THOMAS V. TESTERMAN, called as a witness, pursuant to all applicable rules, taken by agreement on the 12th day of January, 2010, beginning at approximately 12:00 p.m., at the Law Offices of Ball & Scott, 550 Main Street, Suite 601, Knoxville, Tennessee, before Andrea McBee, Court Reporter and Notary Public, pursuant to stipulation of counsel.

It being agreed that Andrea McBee,
Court Reporter and Notary Public, may report the
deposition in machine shorthand, afterwards reducing the
same to typewriting.

All objections, except as to the form of the question, are reserved to on or before the hearing.

It being further agreed that all formalities as to notice, caption, certificate, transmission, etc., including the reading of the completed deposition by the witness and the signature of the witness, are waived.

## THOMAS V. TESTERMAN,

called as a witness, having been first duly sworn, was examined and deposed as follows:

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MR. BALL: Before Mr. Testerman begins to testify, I want to state for the record that John Bell is not waiving and specifically intends not to waive any attorney/client privilege that may have existed between Mr. Bell and Mr. Testerman, and that it would be a violation of attorney/client privilege if Mr. Testerman testified as to any conversation between John Bell and himself, and we would instruct Mr. Testerman that he cannot waive that privilege because that privilege is not his to waive. So any questions in that vein, we would instruct Mr. Testerman not to respond based on attorney/client privilege. The second thing, as I am given to understand, we have been joined by a lawyer from the Board of Professional Responsibility. Would you state your name for the record, please?

RACHEL WATERHOUSE: Rachel Waterhouse.

MR. BALL: Ms. Waterhouse, as I'm given to understand from this morning's activities, that there may or may not be a BPR, Board of Professional Responsibility complaint filed by Mr. Daniel against Mr. Testerman or by anyone against Mr. Testerman, and

of course that would be subject to Mr. Testerman deciding to testify about that one way or the other, if there is a privilege, and I don't know this, that that would be up to Mr. Testerman to waive. But any discussions concerning Judge Bell and the alleged representation of Judge Bell by Mr. Testerman, either in the BPR complaint or otherwise, we would instruct Mr. Testerman that he cannot waive and should not waive the attorney/client privilege.

MR. DANIEL: Okay. And for the record, in response to that attorney/client privilege, I think that Mr. Testerman would agree is codified in 23-3-105, it deals with statements made from the potential client to the attorney, but not necessarily from the attorney to the client. I'm sure he has looked at that. And this is a legal issue that will probably be resolved by the Court, will probably have to be presented, because it's incumbent on the person who is asserting that privilege to be able to establish that it exists, so that's my response to the record.

MR. BALL: And in response to that, as I appreciate the procedure to be used here today and the state of Tennessee, the procedure that is used in the state of Tennessee, that that privilege will be

asserted on a question-by-question basis, and in fact, I agree with you, counsel, that at some point in time some judge is going to have to decide that, and you can propound the question, we will assert the privilege and instruct him not to testify.

MR. DANIEL: I agree only with the fact that it is going to be a question-by-question determination.

#### EXAMINATION

#### BY MR. DANIEL:

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- Q. Before we begin, I want to ask you a few questions. First of all, have you ever been the subject of giving a deposition before?
  - A. Have I ever given --
- Q. Have you ever given, yourself, a deposition before?
  - A. Not that I recall.
- Q. You've taken depositions, I'm sure, as an attorney?
  - A. Oh, yes, certainly.
  - ${\tt Q.}$  But as far as giving one yourself, this may be the first one that --
    - A. I think it is.
- Q. As an attorney, and so I'm going to give you some preliminary matters I want to discuss with you,

but I'm sure you're very well aware of them. You understand today you're under oath and everything you say is being recorded?

A. I do.

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- Q. Do you understand that this is not video'd today, but it's an audio, so that you would be required to answer verbally. You wouldn't answer with nodding your head or huh-uh or uh-huh so that we can record it correctly?
  - A. I understand.
- Q. In this particular case and today, are you represented by an attorney?
  - A. No.
- Q. Have you consulted with an attorney prior to today?
  - A. No.
- Q. If you don't understand a question that I ask you, if you want me to rephrase it, please let me know so that I can be sure that you understand what I'm asking you about, okay?
  - A. Okay.
- Q. All right. My first question to you goes back to your background. How long have you practiced law?
- A. More than 25 years. I don't know exactly, maybe 27.

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1	Q.	Okay. Where do you practice?			
2	Α.	Newport, Tennessee.			
3	Q.	Could you give us your address?			
4	Α.	301 East Broadway, Newport.			
5	Q.	And your Board of Professional			
6	Responsibility	number?			
7	А.	010324.			
8	Q.	And where did you attend law school?			
9	Α.	University of Tennessee.			
10	Q.	When did you graduate?			
11	Α.	I believe 1982.			
12	Q.	When did you take the bar examination?			
1,3	Α.	I think 1982.			
14	Q.	Did you successfully pass it the first			
15	time there?				
16	Α.	Yes.			
17	Q.	When was it that you were admitted to the			
18	practice of law?				
19	Α.	1983 or 1984. I don't recall exactly.			
20	Q.	Now, when you were admitted to the			
21	practice of law	, where did you first begin your			
22	practice?				
23	Α.	Newport, Tennessee.			
24	Q.	Could you tell us each and every place			
25	that you have p	practiced law and what association you've			

had with the practice from and after that time, I mean, after your admission?

- A. You're asking where I've had law offices?
- Q. Yes.

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- A. Newport, Tennessee.
- Q. All of them in Newport?
- A. Correct.
- Q. Have you been located in your entire practice in the same location?
- A. No. You mean office wise? I've had different addresses in Newport.
- Q. Does that also include different office arrangements as to who you've practiced with?
- A. Yes, for a few months, and then otherwise I practiced as a sole practitioner.
- Q. When you first started your practice, who did you practice with and where was it?
  - A. A fellow named Phil Owens.
- O. Where was that located?
  - A. I cannot remember the address. It was in Newport. I don't remember the address. I apologize.
- Q. How long did you practice there, from 1983, I take it, until when?
- A. Maybe a year. I just don't recall. It was a short time.

- Q. Where did you move to from Phil Owens' office?
- A. I moved to an office above the office presently occupied by Bill Leibrock, and the address was East Main Street, but I cannot remember the number.
- Q. All right. Were you associated with someone in that office?
- A. You know, as a matter of fact, yes, I was. There was a short period of time in that office, and then another period of time when I moved to the office I'm presently in where an attorney by the name of David Hill and I shared office space.
  - Q. Did that begin in 1984?
  - A. You mean with David Hill?
  - Q. Yes.

- A. Honestly, no, it would not have been that early, but I don't remember when it was. I would be speculating. It went on for three or four years or thereabouts.
- Q. Are you talking about your practice over the office of Leibrock?
- A. I don't recall how long I was situated in an office on the second floor of the building Bill Leibrock owns, a couple, four years.
  - Q. Would that take you up to '87? I'm asking

you to try to give me a chronological of your law practice and locations.

- A. I apologize. I can't remember exactly how long I was there, at least -- I believe it was at least three years. It may have been a little longer. Then I moved from that location to where I'm presently located on East Broadway.
  - Q. When was that, approximately?
- A. I cannot remember. Let me think. Early, mid-90s.
  - O. Mid-90s?
- 12 A. Yeah.

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- Q. And you've been in that same location since then?
- 15 A. Correct.
  - Q. Have you been in law practice with anyone else, associated with anyone else?
  - A. No.
  - Q. Have you ever practiced law with Mr. John Bell, Judge John Bell?
    - A. No.
    - Q. Have you ever been associated with him on a case or cases?
    - A. Not that I recall. I've been against him on numerous cases, but never with him that I recall, but

let me think. I don't recall any.

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- Q. Okay. So you have never had any business relationship as far as law practice business?
- A. Sure, I've had cases against him as a lawyer.
- Q. What is your telephone number, both at home and office?
- A. At the office, 423-623-0375. Now, there's a second line, and I cannot remember that second line. It's a rollover.
  - O. So it rolls over?
- A. Right. I just call 0375 if I need to. My phone number at home is 423-623-4495.
- Q. Was that the phone numbers that you had in 2007, 2008 and 2009?
  - A. Yes.
- Q. Have there ever been any other telephone numbers that you used during those measured period of times?
  - A. I have a cell phone.
  - Q. What is your cell phone number?
- A. 423-608-3549. I also have a fax line of course, but I don't use it for conversational telephone, just for fax.
  - Q. Okay. Now, were you raised in Newport?

Α. No. 2 0. Where were you raised? Primarily in Morristown, Tennessee. Α. 0. When did you move to Newport? 5 Α. When I started practicing law. 6 Q. Did you know Judge John Bell when you were 7 growing up in Morristown? 8 Α. No. 9 Did you first learn of him, have dealings 10 with him after you graduated from law school? 11 I first knew of John Bell -- he had 12 practiced in Greeneville. I did not know him from his 13 practice in Greeneville, but he had, I believe, started his practice in Greeneville as a lawyer, and then he moved 14 15 his practice back to Newport, and that's where I came to 16 know him. I met him after --17 Ο. Was he originally from Newport; is that 18 what you're saying? 19 Α. Well, from the Cocke County area. I don't 20 know exactly where he grew up. 21 Ο. Were you in law school at the same time as 22 he was? 23 Α. Yes, but we didn't attend the same law 24 school.

Where did he go to school?

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Ο.

I understand he went to Memphis, and I, of Α. course, attended UT of Knoxville. So you graduated and he graduated 0. I see. at or about the same time and took the bar about the same time; is that right or wrong? No, I think he may have been a year behind I did not know John Bell at all until he me in school. came to practice from Greeneville back into Newport, and that was several years after I started to practice. Q. When was that, then, that you first met John Bell? I don't remember. The best I can tell, Α. you can determine that by when he moved his practice back to Newport, and that would have been when I met him. If you haven't practiced law with Q. Okay. him, have you ever had any business dealings with him? Α. We've had lawsuits against each other, yeah. 0. Have you at any time represented John Bell? I'm going to object to that MR. BALL: question and instruct you not to answer that based on attorney/client privilege.

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BY MR. DANIEL:

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STOGSDILL COURT REPORTING SERVICES

I'll ask it this way. Have you ever

represented John Bell prior to 2009?

A. Help me out here, fellows.

MR. BALL: I'm going to assert the attorney/client privilege from 2000, and I'm going to say five on to the present and instruct you not to answer those questions. If counsel wants to go back prior to 2005, then that's fine.

#### BY MR. DANIEL:

- Q. Have you -- go ahead with your --
- A. If you're speaking of prior to 2005, and I'm okay in answering that, then there would be from time to time that John Bell would ask my legal advice on some things, and quite frankly, I think prior to that probably vice versa. I don't have independent recollection, but pretty much what you have happen in small towns. You know, lawyers, we all know each other. If you've got a question in your mind about something, you would inquire, I'd ask the advice of another -- I'm not talking about -- I'm talking about where you have something that might affect you.
- Q. My question was had you represented him in a legal matter of his?
  - A. You mean in a lawsuit?
- Q. In any type of legal matter, whether it logged into a lawsuit or business or any other type of

relationship.

A. Help me out.

MR. BALL: Again, I would object based on attorney/client privilege and instruct the witness not to answer on anything, any matter after 2005.

BY MR. DANIEL:

- Q. Go ahead with your answer. We'll have to take this up with the Court at a later time, but limited to before 2005.
- A. You all have got me on the horns of a dilemma here. I have a lady over here from the Board of Professional Responsibility that is here obviously for me and whether I'm doing something incorrect ethically, and he's advising me that he's asserting attorney/client privilege for Judge Bell. I'm afraid to answer that question. I'm afraid that I'm kind of --

MR. DANIEL: He's limited his objection, you said 2005 forward.

THE WITNESS: Before 2005?

MR. BALL: I am not objecting to any kind of representation, if any, that you had with John Bell before 2005. Any time after 2005, we object on the basis of attorney/client privilege. And let me say this, and I should have said this earlier, I really don't understand why Ms. Waterhouse is sitting

in on this deposition and would object to it.

MR. DANIEL: It's open. It's an open deposition, and there has been no motion filed.

MR. BALL: I didn't know she was coming.

I had no idea you were coming.

MR. DANIEL: Do you represent

Mr. Testerman?

 $$\operatorname{MR.}$$  BALL: I do not represent Mr. Testerman.

MR. DANIEL: So that's his interest.

MR. BALL: It would be his call if he didn't want to testify.

MR. MCDONALD: Gordon, may I clarify something? Your objection is only to allowing him to talk about the fact that he did represent, or if he did, but that the context of any conversations from 2005 before, we wouldn't waive that privilege?

MR. BALL: Right, but it's up to

Mr. Testerman to -- I do not represent Mr. Testerman,

and so I cannot say one way or the other, but it

would be up to Mr. Testerman to testify. With the

Board of Professional Responsibility sitting here, I

can say that I did not know the Board of Professional

Responsibility was going to be represented at this

matter today until you came.

BY MR. DANIEL:

- Q. Do you want to answer the question?
- A. I don't think anybody objects to anything before 2005, and I think I can answer it this way and hopefully be accurate. I did not represent John Bell in any lawsuit or anything such as that. As far as before 2005, being asked legal advice on things that would affect him personally from time to time, that would happen, you know, not anything that I can recall in particular, but —
- Q. Did he hire you or pay you for that advice in those instances prior to 2005?

MR. BALL: I'm going to object to that and instruct him not to answer on any matters that John Bell, before, after 2005, that John Bell has ever had with Mr. Testerman.

MR. DANIEL: On what basis?

MR. BALL: On the basis of attorney/client privilege. I instruct you not to answer that question.

MR. DANIEL: Let me make sure I understand. You're going to assert that he can't answer any question about his relationship with John Bell at any time?

MR. BALL: If John Bell, if he had a -MR. DANIEL: You think the position is

that the law would support that you can make a 1 2 unilateral claim of attorney/client without ever 3 establishing that it exists between these two --MR. BALL: Absolutely. If you're saying 5 it exists, then --MR. DANIEL: Consider that as a carte 6 7 blanch blocking of any questions. 8 MR. BALL: I understand. Go ahead. 9 MR. DANIEL: But is that your position? 10 MR. BALL: My position is that you're 11 asking him a question to establish whether or not an 12 attorney/client privilege existed or a relationship 13 existed. I'm saying he cannot answer those 14 questions, and we're asserting the attorney/client 15 privilege. 16 MR. DANIEL: Okay. 17 BY MR. DANIEL: 18 0. Have you ever been a guest in John Bell's 19 home? 20 Α. Yes. 21 0. Has he ever been a guest in your home? 22 Α. The only time I ever recall John Bell 23 being at my home -- he didn't come into my home.

Do you consider yourself a personal friend

by my house and spoke to me in my driveway.

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Q.

of John Bell? 1 2 Α. Yes. Have you supported him in his political 0. campaigns, both when he initially ran, and I believe that 5 was in 1998, and as well as 2006? 6 Α. Yes. 7 Q. Have you been a political contributor to 8 him? 9 Well, that was my support. Α. 10 Q. Okay. I also supported the other fellow, gave 11 Α. 12 them both money. 13 Playing both sides? Ο. Α. Let me say this in defense of myself. 14 15 That's duplicitous, I know, but both sides knew that I had given the other side money. 16 17 Q. Most of the time they do in --18 Α. No, I told them. I told them. Too small a town not to do that. 19 20 Are you familiar with Judge Bell's family 0. 21 members? 22 Why, certainly. Α. 23 Q. Who is his wife?

Vida Ivy Bell.

Is she an attorney?

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Α.

Q.

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1	Α.	She is.	
2	Q.	Practices in Newport?	
3	Α.	She does.	
4	Q.	Have you ever had any business dealings in	
5	which you worked	d with her in your law practice?	
6	Α.	Yes.	
7	Q.	Who is Judge Bell's administrative	
8	assistant or secretary?		
9	Α.	Joy Large.	
10	Q.	Who is she? What relationship is she to	
11	Judge Bell or Ju	udge Bell's wife, or do you know?	
12	Α.	You know, I don't know. There's maybe	
13	something distar	nt there. I don't know.	
14	Q.	In Judge Bell's wife's law practice, who	
15	is her secretary	or administrative assistant?	
16	Α.	Waynella Large, I believe. I believe that	
17	is.		
18	Q.	Who is she?	
19	Α.	She is who is she in relation to Vida?	
20	Q.	Yes.	
21	Α.	She's Vida's sister.	
22	Q.	Have you represented her?	
23	Α.	Vida?	
24	Q.	No, no, Ms. Large.	
25	Α.	Waynella?	

Yeah. 1 Q. You mean have I ever done any legal work Α. that pertained to her? Q. Yes. I think -- let me say yes. I'm not sure 5 Α. what I can tell you about what it was, but let me say I 6 7 have done some legal work. 8 Was that in relationship to a corporation Ο. that was formulated, specifically East Tennessee 10 Probation? 11 I did some work on East Tennessee 12 Probation. I'm not sure if that qualifies as doing it for Waynella Large. 13 14 And was she and Tommy -- is it Tommy Q. 15 Large? Tommy Large is her husband. 16 Α. 17 0. Were she and Tommy Large the incorporators? 18 I may have been the incorporator. 19 Α. 20 MR. BALL: I'm going to object to the 21 relevance of this, but go ahead. 22 THE WITNESS: I may have signed as the 23 incorporator. I don't recall who signed the charter as the incorporator. It was a nonprofit charter 24

filed with the State of Tennessee in Nashville.

BY MR. DANIEL:

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- Q. Were you representing that corporation in the fall of 2008 and in 2009 as the corporate attorney?
  - A. Yes.
  - Q. Do you currently represent them?
- A. Why, yeah. There's not much to represent, but yes.
- Q. Is Tommy Large still in the probation business in any way?
  - A. To my knowledge, absolutely not.
- Q. Does he appear in Judge Bell's court at this point?
  - A. I'm not -- no.
- Q. Now, let's go forward and let me ask you this question. Do you know David Pleau?
  - A. Yes.
- Q. When did you first meet David Pleau?
- A. Do you mean personally or to speak to him on the phone?
  - Q. Well, let's begin personally.
    - A. I believe he came to my office and I met him personally in March of 2009. I believe it was in March. Now, don't hold me to that. I can give you an exact date if I was at my office and could look at my calendar.

- Q. All right. In that regard, have you looked at anything or been provided any documents to review prior to coming to this deposition?

  A. Yes.
  - O. What was that?
- A. I was provided copies of some depositions. I have not looked at those, okay? I looked at my calendar several days ago when I spoke to somebody here about this deposition and had that date, and that's why I say I believe it was in March.
  - O. March of?
- A. 2009.

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- Q. What depositions were you provided and who provided them?
- A. I can't tell you because I didn't look at them. Let me explain to you what I mean by that, because that seems fantastic. Yesterday afternoon I received some faxes to my office that were some depositions, okay? I did not look at them, did not have time.
  - Q. From who?
- A. From Gordon Ball's office.
- Q. You haven't read those?
- A. I have not.
- Q. And you can't tell us what you received.

  Have you received or looked at anything else --

- A. Not that I know of.
- Q. -- in preparation for this deposition?
- A. No.

- Q. You were telling me about David Pleau, and the first time you actually met him, I think you said it was in March of '09?
  - A. Uh-huh.
- Q. When did you first hear the name David Pleau?
- A. Earlier in 2009. I don't remember. It was several weeks, I believe, before I would have talked to him at my office.
  - O. Several weeks before March?
- A. Right. I don't remember the exact date.

  I would just be speculating if I tried to tell you. It was January, February, March.
- Q. Where and how did you learn of the name David Pleau?

MR. BALL: I'm going to object to that under the attorney/client privilege. If there's any mention of Judge Bell or if there's any attempt to state that Judge Bell had any conversation with this witness concerning Mr. Pleau, we would object under the attorney/client privilege and instruct him not to answer. If Mr. Testerman has some independent

knowledge of Mr. Pleau, then certainly he can answer, but if it had anything to do with Judge Bell, we would object.

THE WITNESS: I can't answer.

#### BY MR. DANIEL:

- Q. Your response was you can't answer?
- A. I can't answer, given the objection.
- Q. All right. You didn't look Mr. Pleau's name or address up in the phone book?
- A. No -- well -- no -- I may have looked it up in the phone book. Yes, I may have. I don't remember. It seems like for some reason I looked in the phone book, but I don't remember exactly, but anyway, that's --
- Q. Why would you have looked in the phone book for his name and phone number?

MR. BALL: I'm going to object to that based on attorney/client privilege, and again, if it was at any instruction from Judge Bell or any discussion with Judge Bell, we would object and instruct this witness not to answer.

THE WITNESS: I'm afraid to answer. I'm afraid ethically I would be remiss.

## BY MR. DANIEL:

Q. Okay. Who initiated -- did you telephone

1 Mr. Pleau? Α. 0.

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Yes.

I assume that after you looked his name and phone number up, you telephoned him?

Α. I telephoned him.

Q. When did you make this phone call?

Α. As I said, I can't remember the date. would have been several weeks before Mr. Pleau had visited me in my office, and it would have been in the afternoon hours. I apologize, I can't tell you any more precisely than that at this time.

- Q. Would it have been February the 2nd?
- Α. Possibly.
- Did you speak with Mr. Pleau for about 28 0. minutes?
- Several minutes. I don't have any idea of Α. how long I might have spoken to him, sir.
  - 0. Did you call him or did he call you?
  - Α. I called him.
- 20 Q. What was the subject of your call? 21 MR. BALL: I'm going to object to that and 22 instruct him -- hang on a second. I'm going to take 23 a break.

(Recess taken.)

MR. BALL: I withdraw an objection as to

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28 that question, so go ahead. MR. DANIEL: Do you want her to read it 2 back? THE WITNESS: Yes, please. (Question read.) THE WITNESS: I did call him. And then there was another question after that. 8 (Last question read.) 9 THE WITNESS: The subject was I was 10 inquiring of him about his intent to pursue a 11 complaint against Judge Bell in the Court of 12 Judiciary. 13 BY MR. DANIEL: 14 Q. How did you know of a complaint with the 15 Court of Judiciary? 16 MR. BALL: I'm going to object to that 17 question based on attorney/client privilege and instruct the witness not to answer. 18 19 BY MR. DANIEL: 20 Q. Was your call at the direction of Judge Be11? 21 22 I'm going to object to that MR. BALL: 23 question and instruct the witness not to answer based 24 on attorney/client privilege.

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BY MR. DANIEL:

Q. Why would you have made the call?

MR. BALL: I'm going to object to that question and instruct the witness not to answer based on attorney/client privilege.

#### BY MR. DANIEL:

- Q. Is that what your position is going to be?
- A. It will have to be. I mean, I don't --
- Q. I want to give you an opportunity to respond.
- A. Let me say this. I am willing to listen to some tribunal that can give me some directions on whether or not it is appropriate for me to respond to that. And at this point in time I'm kind of glad that the representative with the Board of Professional Responsibility is here, because she'll have firsthand knowledge on what to jump on me about as far as giving me some direction at some point in time, I hope. Anyway, that would have to be my position right now.
- Q. First of all, did you know or have you learned that David Pleau had a civil lawsuit in the General Sessions Court of Cocke County in Judge Bell's court where he had sued Merastar and Ms. Coleman?
- A. I know he had a civil lawsuit. As far as who the exact parties were, I don't know, but I have learned that.

- Q. Did you know that at the time that you called?
- A. I knew he had a civil lawsuit. I didn't know who the parties were.
  - Q. And it was pending?
  - A. Correct.

- Q. When you talked with Mr. Pleau next, it was in, did you say March?
- A. I believe it was March. In fact, I'm going to -- I could tell you exactly when it was, because it's still on my calendar on my computer at the office. I just obviously don't have access to that here today. But I believe it may have been March 20th, but I'd have to check and make sure of that.
- Q. All right. At that point in time did you discuss with Mr. Pleau, when you talked with him in March, parts of his case or about helping him or offered to help him in his case?
- A. I discussed with him about parts of his case. Mr. Pleau wanted to discuss, I think, with a lawyer or something about that. I was not interested in helping him with his case, but, you know, I don't mind talking to somebody.
- Q. Had he had problems with his subpoenas in a previous hearing, and did you discuss that with him?

- A. I may have. Honestly, I don't recall exactly what we discussed, but yes, I may have discussed something about a subpoena with him.
- Q. At the time that you discussed this or talked with Mr. Pleau, and this was in March, early March, I'm asking, of '09, did you ever tell him that you represented Judge Bell?

MR. BALL: I'm going to object to that based on attorney/client privilege and instruct the witness not to answer.

### BY MR. DANIEL:

- Q. Is that your position?
- A. Sir, it will have to be my position right now until somebody can give me some guidance as to whether or not it would be permissible for me to answer that.

MR. DANIEL: Bear with me for just a second while I find my notes.

#### BY MR. DANIEL:

Q. In your telephone conversation or call of February the 2nd, which you discussed where you called Mr. Pleau, did you tell Mr. Pleau that you were calling on behalf of Judge Bell, that Judge Bell knew that he could not call Mr. Pleau, that it would be inappropriate, and ask Mr. Pleau if he would withdraw his complaint in the Court of the Judiciary?

MR. BALL: I'm going to object to that question based on attorney/client privilege and instruct the witness, Testerman, not to answer that question.

#### BY MR. DANIEL:

Q. Was the purpose of your call on February the 2nd to follow the instructions of Judge Bell, to ask if Mr. Pleau would drop the Court of the Judiciary complaint?

MR. BALL: I'm going to object to that question, likewise, under the attorney/client privilege and instruct the witness not to answer that question.

#### BY MR. DANIEL:

Q. During your telephone conversation on February the 2nd, did you indicate or tell Mr. Pleau that if he wanted to drop the complaint in the Court of the Judiciary, that you could prepare some documents, that he could come in your office and sign?

MR. BALL: I'm going to object to that question based on the attorney/client privilege and instruct the witness not to answer.

#### BY MR. DANIEL:

- Q. Is that the position you take?
- A. Yeah. I've not answered verbally as to

1 those, but it would be the same on all of those, sir. 2 0. Did you first learn of the complaint --3 first, where did you first learn of the complaint with the 4 Court of the Judiciary against Judge Bell by Mr. Pleau? I'm going to instruct the MR. BALL: witness not to answer that question based on attorney/client privilege. 8 BY MR. DANIEL: 9 0. The same? 10 Α. Yeah, the same. 11 Ο. Did you first learn of the complaint with 12 the Court of the Judiciary by Mr. Pleau in the hallway of the Cocke County Courthouse in a discussion with Judge 13 14 Bell? 15 MR. BALL: I'm going to instruct the 16 witness not to answer that question based on 17 attorney/client privilege. 18 THE WITNESS: That, at this point in time, 19 would have to be my position. 20 BY MR. DANIEL: 21 I understand. When you first learned of 0. 22 this complaint, was any other person present? 23 MR. BALL: I'm going to object to that

MR. DANIEL: By the way, I'm talking about

question based on --

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the complaint with the Court of the Judiciary from Judge Bell, to clarify the question so he can introduce an objection.

MR. BALL: I'm going to object to that question based on attorney/client privilege, and it presupposes there was a conversation between Judge Bell and Mr. Testerman.

## BY MR. DANIEL:

Q. After the telephone conversation of February the 2nd that we've been discussing where you called Mr. Pleau, did you report back to Judge Bell what you had learned in your telephone conversation?

MR. BALL: I'm going to object to that question based on attorney/client privilege and instruct the witness not to answer.

THE WITNESS: That will have to be my position at this point in time, sir.

#### BY MR. DANIEL:

- Q. Now, the next contact that you had with Mr. Pleau after the March telephone call that you testified to back a while ago --
  - A. March telephone call?
- Q. I think you said you thought it was March.
  - A. Telephone call?

- Q. Yeah. Let me back up and ask you this.
- A. Mr. Pleau in March came to my office, sir. I'm sorry, I was unclear about that. Mr. Pleau came to my office.
- Q. Prior to him coming to your office physically, did he call to talk to you about arranging an appointment to come and visit with you?
- A. I don't recall him calling me. It's possible he may have, but I swear, I don't -- I don't remember independently him talking to me, and usually my office staff arranges most of my appointments. I don't talk to them because that could be very time consuming, but it would be possible that I may have talked to Mr. Pleau. I just don't independently recollect at this time.
- Q. If he says he called and made an appointment, you don't have any recollection?

- A. Most certainly he called and made an appointment because an appointment was made, and I don't think we would have initiated that. But now, whether he talked to me when he made the appointment, I just don't recollect.
- Q. If in fact he did talk with you on that first March date, which you don't recall, did you at any time tell him that you represented Judge Bell in this

Court of the Judiciary or any other --

MR. BALL: I'm going to object to that question and instruct the witness not to answer based on attorney/client privilege.

#### BY MR. DANIEL:

- Q. Is that your response?
- A. That would have to be my response.
- Q. All right. Now, going to the day that you do recall now as being in March, do you remember what day in March that Mr. Pleau came to your office?
- A. Right, I believe it was -- subject to the same thing I told you before, I believe it was March 20th, but as I said, I'm not for certain of that, but I believe it was somewhere around March 20th.
- Q. What was the purpose of Mr. Pleau coming to your office on that particular day?
- A. Mr. Pleau wanted to discuss some facts involving his lawsuit.
- Q. Did you on that occasion -- and you're talking about -- when you say his lawsuit, are we talking about his pending lawsuit dealing with his property damage against Merastar and Coleman, as far as you know?
- A. His pending lawsuit. I'm not sure who it was against. As I said, if that was the parties, that was the parties. I don't have any independent recollection

who they were.

- Q. That was a lawsuit that you knew to be in the General Sessions Court of Cocke County?
- A. I believe it was at that time. I don't remember the exact posture at that time.
- Q. All right. In this particular conversation, did Mr. Pleau ask you about papers that you were drawing up or might consider drawing up dropping the complaint against, the complaint in the Court of the Judiciary against Judge Bell?

MR. BALL: I'm going to instruct him not to answer. That presupposes that there were papers, and it's going back to your earlier question as to whether or not Mr. Testerman had ever said to Mr. Pleau that he would prepare papers, and instruct the witness not to answer based on attorney/client privilege.

BY MR. DANIEL:

- Q. Your response?
- A. I'm going to have to take the same position, sir.
- Q. On this occasion, on the occasion of the meeting that you had in March with Mr. Pleau, did you ever tell Mr. Pleau that you were an attorney representing Judge Bell in any matter?

MR. BALL: I'm going to object to that
question based on the attorney/client privilege and
instruct the witness not to answer.

BY MR. DANIEL:

Q. Your response again?

A. Once again, I'll have to take the same

- A. Once again, I'll have to take the same position I've taken earlier, respectfully, sir.
- Q. Have you ever seen the complaint that Mr. Pleau filed in the Court of the Judiciary?

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- A. I don't think so. I read about it in the newspaper.
- Q. After the March meeting, did you have a meeting in July, July the 16th, with Mr. LaRue and myself?
- A. You and Mr. LaRue came to my office. I don't remember the exact date.
- Q. At that particular time were you asked questions about this telephone conversation of February the 2nd?
  - A. I believe that I was.
  - Q. Did you answer those questions truthfully?
  - A. I believe that I did, yes.
- Q. Did you at any time, during that particular discussion with myself and Mr. LaRue, indicate that you had an attorney/client relationship with Judge

Bell?

MR. BALL: I'm going to object to that question and instruct the witness not to answer based on attorney/client privilege.

### BY MR. DANIEL:

- Q. Okay. Later in the month of August, did you have a meeting in which TBI Agent Lott and Special Investigator King came to your office?
- A. I don't remember the date that some TBI gentlemen came to my office, but yes, they did.
- Q. On that particular occasion, did you indicate to them or tell them that you were an attorney representing Judge Bell?
  - A. I don't --

MR. BALL: I'm going to object to that question based on attorney/client privilege and instruct the witness not to answer.

## BY MR. DANIEL:

Q. Now, let me ask you this question,
Mr. Testerman. Counsel has continued to raise these
objections about attorney/client privilege. On what day
or days do you state that you became an attorney, came
into an attorney/client relationship with Judge Bell?

MR. BALL: I'm going to object to that question and instruct this witness not to answer

1 based on attorney/client privilege. 2 BY MR. DANIEL: 3 0. As to the date when the relationship began. 5 MR. BALL: It is our position that any matter concerning, and I'm going to say alleged, 7 attorney/client privilege, whether it existed or did 8 not exist, is privileged. 9 BY MR. DANIEL: 10 Q. When did you come to know, Mr. Testerman, 11 that Gordon Ball represented Judge Bell? 12 Α. You mean for anything? 13 Q. In these matters. 14 MR. BALL: I'm going to ask --15 BY MR. DANIEL: 16 The question is -- let me clarify the 0. 17 question. On what date did you learn that Gordon Ball 18 represented John Bell in these matters that are before the 19 Court of the Judiciary? 20 Α. I do not recall a date, sir. I just 21 don't. 22 Q. Do you have now an attorney/client 23 relationship with Judge Bell in this matter or any other

MR. BALL: I'm going to object to that

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matter?

question and instruct the witness not to answer based on attorney/client privilege.

MR. DANIEL: Do you contend that you have a continued attorney/client relationship at this time, Mr. Ball?

MR. BALL: I'm not contending one thing or another, sir. It's up to you to ask the questions, and I'm going to object. And I will object --

THE WITNESS: Once again, I'll have to take the same position I've taken before, sir, respectfully.

#### BY MR. DANIEL:

- Q. Do you appear in Judge Bell's court?
- A. I do.
- Q. If this attorney/client relationship exists, have you continued to appear in Judge Bell's court in 2009?

MR. BALL: I'm going to object to that and instruct this witness not to answer based on attorney/client privilege, and that really goes to the form of the question if this attorney/client privilege has been --

### 23 BY MR. DANIEL:

Q. Have you appeared in Judge Bell's court in 2009?

1 Α. Yes. 2 Q. Have you appeared in Judge Bell's court in 3 December of 2009? Α. Yes. 5 November 2009? Ο. Α. Yes. October 2009? Ο. 8 Α. Yes. 9 0. September 2009? 10 Α. Yes. 11 August of 2009? Ο. 12 Α. Yes. 13 July of 2009? Q. 14 Α. Yes. 15 Let me ask you this, and maybe I can Q. simplify this. Have you appeared in Judge Bell's court as 16 17 an attorney representing parties in every month of 2009? 18 Α. I'm sure, yes. I'll say yes. Now, if it turns out in February or March I didn't -- but I'm pretty 19 20 sure I did, because I'm regular in his court. 21 Has there ever been an announcement, by 0. 22 yourself or Judge Bell, in any of these court appearances 23 that you had in 2009 that you and he were in an 24 attorney/client relationship?

MR. BALL: I'm going to object to that and

instruct the witness not to answer based on attorney/client privilege.

THE WITNESS: Once again, I cannot answer that question at that time because of that objection.

# BY MR. DANIEL:

- Q. Okay. Tell me, Mr. Testerman, what's your understanding of what an ex parte communication is?
  - A. You mean in a legal sense?
- Q. Yes, particularly -- and I'll clarify that. As far as a judge is concerned.
- A. Excuse my ignorance, but my understanding of it is when there are -- an ex parte communication would be a communication where both sides are not present, both sides in a particular controversy are not present.
  - Q. Is that an unethical act by a judge?

    MR. BALL: I'm going to object to this

    line of questioning because there's been no

    groundwork laid that this witness would be an expert

    witness on the conduct of judges in the state of

    Tennessee. Other than that, you may ask.

#### BY MR. DANIEL:

- Q. Go ahead then, answer.
- A. The question was -- could you restate the question?

0. I can never remember how I said it, so 2 I'll just ask the court reporter. 3 (Last question read.) THE WITNESS: An ex parte communication? 5 MR. DANIEL: Yes. 6 THE WITNESS: It can be. My understanding 7 is it can be. I don't know that it always is. 8 BY MR. DANIEL: 9 0. Okay. That's fair enough. Going forward, an ex parte communication can be made by a judge through a 10 11 third party; is that correct, in your knowledge? 12 Α. I suppose that would be possible. 13 MR. DANIEL: Can we have a break for just a second? 14 15 MR. BALL: Sure. 16 (Recess taken.) 17 MR. DANIEL: I'm ready to go back on the 18 record if you are, Mr. Testerman. 19 THE WITNESS: Yes, sir. 20 BY MR. DANIEL: 21 I've used some terms here I thought were Q. 22 most conclusive, but perhaps I need to clarify that. 23 When I'm talking about asking you whether you have 24 appeared in Judge Bell's court, Judge Bell exercises a

number of different types of jurisdiction, doesn't he?

A. He does.

- Q. He has civil jurisdiction, criminal jurisdiction, juvenile jurisdiction. He may have probate jurisdiction, I'm not sure.
- A. He does not have probate jurisdiction, to my knowledge, sir.
- Q. My questions as to your appearance as an attorney in Judge Bell's court goes to all of those various types of jurisdiction. Would you agree that you've appeared in Juvenile Court and maybe these other courts as well?
- A. Let me make it easy. On all those months we've spoken about, I believe in all those months I would have appeared in all of those courts.
- Q. All of those types of jurisdiction of courts?
  - A. Right.
- Q. Have you ever sat specially as a judge for Judge Bell?
- A. A time or two. Not many, but a time or two.
- Q. Have you sat specially this last year, 2009?
- A. Right, right. I'm trying to think. I don't think so. I don't recall. It's possible, but I

don't independently recollect. It would have been the day they do the traffic tickets, if that were the case. I'm just saying I don't remember.

- Q. Were you familiar with the fact that Judge Bell had an automobile collision in 2008?
- A. You know, independently I don't recall that, but I guarantee you I would have known that at the time if he had. We're a small town, and if any lawyer or judge had a collision, I would have known about it, or I assume I would have.
- Q. And do you know of any time that Judge
  Bell missed from his official duties in 2008 or 2009
  because of an automobile accident or any other accident?
- A. I don't independently recollect any, I mean, for that reason.
- Q. Are there any written notes or documents associated with your interaction with Mr. Pleau, either --
  - A. You mean from me?
  - O. Yeah.

MR. BALL: I would object to that based on the attorney/client privilege and instruct this witness not to answer that.

#### 23 BY MR. DANIEL:

- Q. Your position?
- A. It would have to be the same.

All right. Today are you here 0. 2 voluntarily for this deposition? Α. Right. Ο. Were you subpoenaed? Α. Not that I know. I was called and they told me you wanted to take my deposition, so I --Who called you? Ο. 8 Α. Somebody from Mr. Ball's office. 9 MR. BALL: Let the record reflect that 10 counsel for the disciplinary committee asked to take 11 Mr. Testerman's deposition, and we said that we could 12 contact Mr. Testerman without a subpoena, which we did. 13 14 BY MR. DANIEL: 15 Q. So you are here without notice? Α. No, I had notice to be here. 16 17 Q. I'm talking about formal notice. Oh, yes. I mean, you know, nobody 18 Α. 19 subpoenaed me. What else may have been done as far as you all noticed between yourselves, I don't know. 20 21 Ο. So you waived formal notice to be here and 22 appeared voluntarily; is that correct? 23 Α. I guess. I don't know that I waived

MR. BALL: I'm going to object to that,

anything one way or the other. I just said I'd come.

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if there's any attempt to mischaracterize why

Mr. Testerman is here. We were doing a service, we
thought, to opposite counsel to attempt to secure a
witness that you requested us to do. I assume you
could have subpoenaed him and accomplished the very
same thing.

MR. MCDONALD: I went to some very great lengths to try to organize four depositions on the same date --

THE WITNESS: Well, if you had called me and asked me to come, I would have done the same thing. I mean, I kind of know the process like you do.

MR. DANIEL: That will be our questions.

EXAMINATION

#### BY MR. BALL:

- Q. Mr. Testerman, do you remember on July the 16th that Mr. Daniel and Mr. LaRue came to your office?
- A. They did. I mean, I don't know the date.

  I know they came to my office.
- Q. Do you remember Mr. Daniel saying to you that it was inappropriate conduct for a lawyer to communicate with a person represented by an attorney without permission?

- A. Something like that, yes, he did.
- Q. Do you remember him saying that to you concerning Mr. Pleau?
  - A. Yes.

- Q. Do you remember him saying that he represented Mr. Pleau?
  - A. Yes.
- Q. Did Mr. Daniel point out that you could be subject to a disciplinary complaint filed by him because you contacted Mr. Pleau when in fact Mr. Daniel represented Mr. Pleau?
  - A. He did.
- Q. Did he point out that under Rule 8.1, that you had an obligation not to make any false statements or failed to disclose certain facts to him, Mr. Daniel?
- A. I don't -- he may have mentioned Rule 8.1, but we discussed that I would not -- or that I should not and was not allowed to make false statements.
- Q. During your conversation with Mr. Daniel, did he tell you that he was going to report you to the Board of Professional Responsibility and specifically Nancy Jones, who is his counterpart?
- A. He told me he was going to report me. I don't remember the name Nancy Jones. I mean, he could have used the name Nancy Jones; I don't recall that.

- Q. Did he in fact report you to the disciplinary committee?
- A. I'm not sure if I'm allowed to disclose that, so I'm going to not answer that question. There's something about you're not supposed to disclose certain things, so -- it may be all right, but --
- Q. Do you have an opinion as to whether or not Mr. Daniel tried to intimidate you that day, on July the 16th?
- A. Yes, I think that was an interviewing witness he was attempting to intimidate.
- Q. Were you in fact intimidated by Mr. Daniel that day?
  - A. Yes.

- Q. Were you in fact intimidated by Mr. Daniel because of his position as the lawyer for the judicial committee, Court of the Judiciary?
- A. That and the statements that were made, yes.
- Q. And the statements made being those that he was going to report you to the board and that you had committed some -- did he make a statement -- strike that. Did he make a statement that you had violated any criminal statutes?
  - A. Yes, criminal activity was afoot. Not

exactly those words, but yes.

- Q. Did that intimidate you?
- A. Certainly. Yes, it did.
- Q. And did you make statements to Mr. Daniel that day that were not authorized by Judge Bell?
  - A. I believe that I did.
- Q. Did you make statements to Judge Daniel and Mr. LaRue that day that in your opinion violated, knowing what you know now, violated any attorney/client privilege, if any existed between you and Judge Bell?
- A. I would have to say at this point in time, yes, I think I did.
- Q. Did Judge Bell give you any authorization that day, on July the 16th, to talk to Mr. Daniel or Mr. LaRue of any kind?
  - A. No.
- Q. Has Judge John Bell ever granted you any waiver of any kind to discuss any matter that he may have consulted you on an attorney/client privilege with anyone else?
  - A. Including you?
- Q. Not including me. Let me be more specific. I always tend to ask one question too many. Has Mr. Bell ever given you any authorization to discuss the Pleau matter with -- strike that.

Α. I didn't mean to be a smart aleck in --2 Q. No, I want to be specific in the question 3 because we will be taking this up before the Court. Did Judge John Bell give you any authorizations to discuss 5 any matter concerning David Pleau with Steve Daniel or anyone from the Court of the Judiciary? 6 Α. No. 8 MR. BALL: I believe that's all. EXAMINATION 10 BY MR. DANIEL: 11 Would you agree that an attorney is the 12 agent of the principal client? MR. BALL: I'm going to object to that 13 14 based on attorney/client privilege. BY MR. DANIEL: 15 This has nothing to do with anything about 16 17 Judge Bell. This is just in general. Would you agree with that? And he may want to object still. 18 MR. BALL: I do want to object based on 19 20 attorney/client privilege and instruct him not to 21 answer that question based on attorney/client 22 privilege. 23 BY MR. DANIEL: 24 Your response? Q. 25

I'll have to take the same position.

Α.

You're going to take the same position? Q. Respectfully so, sir. 2 Α. Even if we're just talking about globally, 0. that's the position you're going to take? Yeah, I'm going to have to. At this point Α. in time, yes, respectfully so, and hopefully somebody can 6 give me some direction. 8 Did Judge Bell authorize you to talk to 0. 9 Mr. Ball? 10 Α. Yes. When? 11 0. 12 Α. I don't remember. Sometime --MR. BALL: I'm going to object to that and 13 instruct you not to answer based on attorney/client 14 15 privilege. BY MR. DANIEL: 16 17 Q. Your response? Well, I obviously have to do the same. Α. 18 19 Based on your responses to Mr. Ball, are 0. you saying today that what you told LaRue and myself in 20 July, you violated your confidential relationship with 21 22 your principal, Judge John Bell? MR. BALL: I'm going to object to that 23 based on attorney/client privilege and instruct you 24 25 not to answer that question.

# BY MR. DANIEL:

Your response? Q.

Α. It will have to be the same, given the exercise of privilege, or confidence, I guess.

MR. DANIEL: No other questions.

FURTHER THE DEPONENT SAITH NOT

#### CERTIFICATE

STATE OF TENNESSEE:

COUNTY OF KNOX

I, Andrea McBee, Court Reporter and Notary

Public, do hereby certify that I administered the oath to

the deponent, that I reported in machine shorthand the

above testimony, that the foregoing pages, numbered from 1

to 55, inclusive, were typed under my personal supervision

and constitute a true and accurate record of the

proceedings, and that there has not been a request made by

the deponent to review the transcript.

I further certify that I am not an attorney or counsel for any of the parties, nor an employee or relative of any attorney or counsel connected with the action, nor financially interested in the action.

Witness my hand and official seal this 19th day of January, 2010.

Andrea McBee

Court Reporter and Notary Public
My Commission Expires 5/09/2012