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MAR - 2 2011

Davidson County Chancery Court

IN THE CHANCERY COURT FOR THE STATE OF TENNESSEE  
TWENTIETH JUDICIAL DISTRICT, DAVIDSON COUNTY, PART I

STEPHEN MICHAEL WEST, )  
 )  
 Plaintiff, )  
 )  
 BILLY RAY IRICK )  
 )  
 Plaintiff/Intervener, )  
 )  
 v. )  
 )  
 DERRICK D. SCHOFIELD<sup>1</sup>, in his official )  
 capacity as Tennessee Commissioner )  
 of Correction, et al., )  
 )  
 Defendants. )

F.01 [Signature]  
 No. 10-1675-I  
 DC&M  
 DAVIDSON COUNTY CHANCERY CT.  
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ORDER

In an order filed on November 29, 2010, the Tennessee Supreme Court in *State v. West*, No. M1987-000130-SC-DPE-DD, directed the State to “file a motion in the trial court presenting for determination in the first instance the issues of whether the revised [lethal injection] protocol eliminates the constitutional deficiencies the trial court identified in the prior protocol and whether the revised protocol is constitutional.” The Court also stated that in order for the plaintiff to prove that the revised protocol created an “objectively intolerable risk of harm that qualifies as cruel and unusual’ ... he must demonstrate that the revised protocol imposes a substantial risk of serious harm, *and* he must either propose an alternative method of execution that is feasible, readily implemented, and which significantly reduces the substantial risk of severe pain, or demonstrate that no lethal injection protocol can significantly reduce the substantial risk of

<sup>1</sup> In accordance with Tenn. R. Civ. P. 25.04(1), Commissioner Derrick D. Schofield, as Ms. Gayle Ray’s successor in public office, is automatically substituted for Ms. Ray as a party in this action.

severe pain.” *State v. West*, No. M1987-000130-SC-DPE-DD (Tenn. Nov. 29, 2010) (Order, p. 3) (emphasis in the original) (citations omitted).

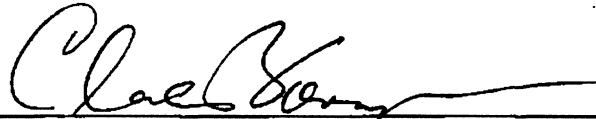
On December 20, 2010, the defendants in this case filed a motion to amend findings of fact and to alter or amend judgment based on the November 24, 2010 revision of the lethal injection protocol to include checks for consciousness prior to the administration of the pancuronium bromide and potassium chloride. The plaintiff filed a response supported by an affidavit from Dr. David A. Lubarsky. After argument, this Court granted the motion to amend findings of fact and also ordered that the affidavit of Dr. Lubarsky be made a part of the record in this case. The Court further ordered that the parties would reconvene on February 16, 2011, for the Court’s bench ruling on the defendants’ motion to alter or amend and on the issues referred back to this Court by the Tennessee Supreme Court.

Based on the evidence in the record and the arguments of counsel, this Court issued a bench ruling on February 16, 2011, a certified copy of which is attached hereto. For the reasons stated in the bench ruling, which is hereby fully incorporated herein, the Court finds as follows:

1. Applying the standards from the plurality opinion in *Baze v Rees*, 553 U.S. 35 (2008), as directed by the Tennessee Supreme Court, the revised Tennessee lethal injection protocol is constitutional and does not violate the Eighth Amendment prohibition against cruel and unusual punishments;

2. Based on the record before the Court, the plaintiffs have not carried their burden to show that the one-drug protocol or any other protocol is, as a matter of fact, feasible, readily implemented and significantly reduces the substantial risk of severe pain presented by the revised Tennessee lethal injection protocol.

**IT IS, THEREFORE, ORDERED, ADJUDGED AND DECREED** that the revised Tennessee lethal injection protocol is constitutional and does not violate the Eighth Amendment prohibition against cruel and unusual punishments. **IT IS, FURTHER, ORDERED, ADJUDGED AND DECREED** that the defendants' motion to alter or amend judgment is granted.



**CLAUDIA C. BONNYMAN, CHANCELLOR  
CHANCERY COURT, PART I**

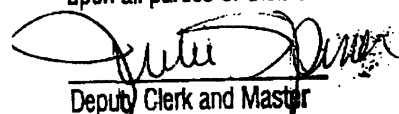
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**RULE 58 CERTIFICATION**

A Copy of this order has been served by U. S. Mail upon all parties or their counsel named above.



**3/4/11**

**Deputy Clerk and Master  
Chancery Court**

**Date**

**CERTIFICATE OF SERVICE**

I hereby certify that on March 3, 2011, a copy of the foregoing was forwarded by e-mail

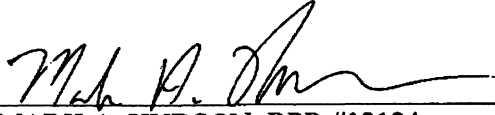
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IN THE CHANCERY COURT OF DAVIDSON COUNTY,  
TENNESSEE

DAVIDSON COUNTY CHANCERY COURT

2011 MAR -2 PM 2:23

P.C. & M.

STEPHEN MICHAEL WEST, )  
 )  
 Plaintiff, )  
 )  
 vs. ) No. 10-1675-I  
 )  
 GAYLE RAY, In her official )  
 capacity as Tennessee )  
 Commissioner of Corrections, )  
 et al., )  
 )  
 Defendants. )

ORIGINAL

TRANSCRIPT OF PROCEEDINGS

BE IT REMEMBERED that the  
above-captioned cause came on for hearing this,  
the 16th day of February, 2011, in the above  
Court, before the Honorable Claudia C. Bonnyman,  
Judge presiding, when and where the following  
proceedings were had, to wit:

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**(Appearances Continued Page 3)**

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PROCEEDINGS

THE COURT: All right. Lawyers and parties, we are here for the Court to dictate to the court reporter its bench ruling on the second remand in this case. And I will be going back and forth somewhat. And I ask the -- everybody's patience on that.

As for the statement of case, the plaintiff, Mr. West, petitioned this Court for a declaratory judgment that the three-drug lethal injection protocol to be used by the State Tennessee Department of Corrections in his execution violates the Eighth Amendment prohibition against cruel and unusual punishments.

The Tennessee Supreme Court twice remanded case to the Chancery Court for a decision. The opinion announced today resolves the second and most recent time the case has been sent back to Chancery for a merits review. Specifically the opinion announced today resolves the State's Motion to Alter or Amend the Judgment based upon its revised protocol.

Before addressing the case, it is helpful to understand the backdrop or the givens



1 against which the issues in this case must be  
2 litigated or decided. When ruling upon capital  
3 punishment cases, the Trial Courts, which this,  
4 of course, include this Trial Court must accept  
5 the higher Court's decisions, which define and  
6 interpret the Eighth Amendment to the U.S.  
7 Constitution.

8           The Eighth Amendment applies to the  
9 States through the due process clause of the  
10 14th Amendment. The Eighth Amendment states  
11 excessive bail shall not be required, nor  
12 excessive fines imposed, nor cruel or unusual  
13 punishments inflicted. This amendment has been  
14 consistently interpreted to mean that  
15 government's punishments of torture and any  
16 punishments involving unnecessary cruelty are  
17 forbidden. Deliberate infliction of pain by the  
18 State for the sake of causing pain is illegal.

19           Consequently, if there is, in fact,  
20 a readily available means to avoid or  
21 significantly reduce the substantial risk of  
22 severe pain during an execution and government  
23 will not use the means, then the risk of severe  
24 pain is unnecessary cruelty. Executions that  
25 mimick or match the suffering of the victims in

1 these heinous crimes have never been -- or has  
2 never been approved in this country. <sup>Wanton infliction</sup>  
3 ~~inflicts~~<sup>of</sup> pain, meaning merciless or uncontrolled CB  
4 pain infliction, is also prohibited.

5 The Constitution does not demand,  
6 however, that Government avoid all risk of pain.  
7 Capital punishment is constitutional, and there  
8 must be -- there may be some pain as an  
9 inescapable consequence of death.

10 Another given about which the  
11 parties agree is that if the prisoner is  
12 conscious when the State injects the second and  
13 third drugs, according to the original and  
14 revised protocols, he will experience severe  
15 pain. The first drug, sodium thiopental, is  
16 injected so that the prisoner will not be  
17 conscious, and will not therefore experience  
18 pain caused by the second and third drugs.

19 The Court will state the principles  
20 of law which establish these givens later in  
21 this opinion. And as for the history of this  
22 case, on November 6, 2010, the Tennessee Supreme  
23 Court remanded this case to the Davidson County  
24 Chancery Court because it concluded that a  
25 declaratory judgment action <sup>is</sup> CB a proper vehicle for

1 this challenge to the State's execution  
2 protocol. This Court was to decide if the  
3 State's three-drug lethal injection protocol  
4 created an objectively intolerable risk of  
5 severe suffering or pain and determine what  
6 level of sodium thiopental is necessary to  
7 negate the risk that the condemned inmate is  
8 conscious and in pain.

9           The Tennessee Supreme Court made it  
10 clear that the plurality opinion in *Baze v Rees*  
11 533 U.S.35 (2008), U.S. Supreme Court case is  
12 controlling. Specifically, in that case -- it  
13 is decided in that case that the risk was to be  
14 evaluated against known and available protocol  
15 alternatives, which would, in fact, significantly  
16 reduce or ~~ex~~<sup>CB</sup>minate substantial risk. In other  
17 words, what other protocols should the State  
18 consider and be following.

19           After a hearing on November 18 and  
20 19, 2010, this Court found that Tennessee's  
21 three-drug lethal injection protocol constituted  
22 cruel and unusual punishment because the sodium  
23 thiopental was not adequate to avoid the  
24 intolerable risk that the prisoner remained or  
25 became un- -- became conscious after the first

1 drug was administered according to the execution  
2 protocol.

3           And there appeared to be some  
4 alternative and readily available method of  
5 available to the State, which would negate or  
6 significantly reduce the risk. Proof at the  
7 November hearing did not show that any  
8 particular -- or the parties at the November  
9 hearing did not show that any particular amount  
10 of sodium thiopental would cause the prisoner to  
11 remain sedated because of the variables always  
12 present in individuals.

13           The plaintiffs did not demonstrate  
14 there was any particular method to ensure that  
15 the plaintiffs remained unconscious when the  
16 second and third drugs were administered, but  
17 the plaintiff consistently pointed out that  
18 other states do check for consciousness before  
19 the second drug is injected.

20           The State did not appeal the  
21 Court's November ruling. Based upon the  
22 Chancery ruling, the plaintiffs sought a stay of  
23 execution from the Tennessee Supreme Court. The  
24 State responded to the effort showing that after  
25 the November ruling in Chancery, the State

1 revised Tennessee's lethal injection execution  
2 protocol, adding methods to check the  
3 consciousness of the condemned person before  
4 administration of the second and third drugs so  
5 that the State would be assured that the inmate  
6 would stay sedated.

7                 The revised protocol provides that  
8 the warden will access consciousness by brushing  
9 the back of his hand over the inmate's  
10 eyelashes, calling the inmate's name and gently  
11 shaking the condemned prisoner. The Tennessee  
12 Supreme Court stayed the plaintiff's execution  
13 and the executions of three other condemned men,  
14 including Mr. Irick who joined in this action as  
15 a plaintiff. order<sup>CB</sup>

16                 In its November 29, 2010<sup>^</sup>, the  
17 Supreme Court directed the State to file a  
18 motion in the trial court <sup>"</sup>presenting for  
19 determination in first instance the issues of  
20 whether the revised protocol, which now includes  
21 checks for consciousness, eliminates the  
22 Constitutional deficiencies the Trial Court  
23 identified in the prior protocol and whether the  
24 revised protocol is Constitutional. <sup>"</sup>

25                 The Supreme Court ordered: In any

1 proceedings on remand the standards annunciated  
2 in the plurality opinion in Baze v Rees 553 U.S.  
3 35 U.S. Supreme Court case decided in 2008  
4 shall apply. The burden is Mr. West -- here I'm  
5 quoting from the Tennessee Supreme Court order  
6 directing the Trial Court: The burden on  
7 Mr. West to prove that the revised protocol  
8 creates an objectively intolerable risk of harm  
9 that qualifies as cruel and unusual." <sup>cc</sup> In order  
10 to carry this heavy burden, he must demonstrate  
11 that the revised protocol imposes a substantial  
12 risk of serious harm. And he must either  
13 propose an alternative method of execution  
14 that's feasible, readily implemented, and which  
15 significantly reduces the substantial risk of  
16 severe pain, or he must demonstrate that no  
17 lethal injection protocol can significantly  
18 reduce the substantial risk of severe pain.

19 And it's important to note that the  
20 Supreme Court ordered that the Trial Court shall  
21 afford the parties an opportunity to submit  
22 argument or evidence about or on the revised  
23 protocol.

24 In compliance with this directive,  
25 the Court scheduled the hearing for the State's

1 motion and set aside two days in February for an  
2 evidentiary hearing should one be necessary. In  
3 opposition to the State's Motion to Amend the  
4 Fact Findings and to Alter or Amend the  
5 Judgment, the plaintiff filed Dr. Lubarsky's  
6 affidavit.

7 In -- at the January 2011 motion  
8 hearing, the parties agree that the Court should  
9 rule on the merits and constitutionality of the  
10 revised protocol, considering the record without  
11 a further evidentiary hearing and without  
12 further proof.

13 The Court granted the State's  
14 Motion to Amend the Fact Findings and took the  
15 issue of whether and how the November 2010  
16 judgment should be amended. <sup>(B)</sup> ~~The judgment itself~~  
17 ~~should be amended~~ under advisement. The Court  
18 allowed the plaintiffs to supplement the record  
19 with Dr. Lubarsky's affidavit in response to the  
20 revised protocol.

21 Finally, the Court announced it  
22 would dictate its ruling to a court reporter on  
23 February 16 at 1:30 p.m., <sup>one (B)</sup> ~~on~~ of the days set  
24 aside for an evidentiary hearing.

25 And then as for the issues in this

1 case, the plaintiffs oppose an alteration of the  
2 November 22, 2010, judgment because they contend  
3 that the revised protocol fails to add any  
4 material information which could even arguably  
5 cure the unconstitutionality of the State's  
6 lethal injection protocol.

7 The plaintiffs assert in their  
8 Amended Complaint for Declaratory Judgment that  
9 Tennessee's original protocol fails the Baze's  
10 test in part because of a glaring omission:  
11 failure to check for consciousness. Kentucky  
12 does have these safeguards, say the plaintiffs, as (CB)  
13 do some other states such as California.

14 The plaintiffs contend, however,  
15 that the stimuli chosen by the State to check  
16 for consciousness as set out in the revised  
17 protocol is too mild to be useful or effective  
18 in reducing intolerable risk.

19 According to the plaintiff, when an (CB)  
20 unconscious, condemned person receives this mild  
21 stimuli, only half will remain unconscious. The  
22 plaintiffs argue further that the record in the  
23 case shows that every single one of the  
24 condemned will, during execution, be suffocated  
25 by the second drug while conscious if they show



1 a sodium thiopental level of 10.2 milligrams per  
2 liter.

3 The plaintiffs do not attempt to  
4 show that there is an execution protocol that  
5 is -- that can significantly reduce the  
6 substantial risk of severe pain. The plaintiffs  
7 assert instead, without agreeing <sup>except</sup> in theory, that (C)  
8 the State decided the one-drug protocol using  
9 sodium thiopental only, <sup>is</sup> is a feasible  
10 alternative.

11 For example, claimed the  
12 plaintiffs, former Commissioner George Little,  
13 admitted in a deposition that the one-drug  
14 protocol will work and even reduce litigation,  
15 and yet the State did not adopt the method. The  
16 plaintiffs' reason that the risk of severe pain  
17 is avoidable because the State has said, We have  
18 an alternative execution method that eliminates  
19 the pancuronium bromide and eliminates the  
20 potassium chloride. The plaintiffs do not  
21 necessarily agree with the State, but take the  
22 position that the one-drug protocol is an  
23 obvious solution, which must be tested to see if  
24 it is in fact a solution.

25 Last, the plaintiffs contend that

1 under the revised protocol, the warden will  
2 check for consciousness. The plaintiffs make  
3 the point that anesthesiologists are trained to  
4 match the depth of anesthesia to stimulus  
5 intensity while wardens are certainly not so  
6 trained. The plaintiffs announced at the motion  
7 hearing that they would not seek an evidentiary  
8 hearing.

9 The State contends that in prior  
10 proceedings before this Court, the plaintiff  
11 argued that the State's original protocol  
12 imposed a substantial risk of harm because the  
13 original protocol contained no checks for  
14 consciousness, such as the ones from Florida and  
15 California that the Tennessee Departments of  
16 Corrections protocol <sup>Committee (CB)</sup> ~~employee~~ reviewed but did  
17 not implement.

18 The State also asserts that this  
19 Court based its November 2010 ruling in part on  
20 the lack of consciousness checks in the original  
21 protocol. The State argues that placing  
22 consciousness checks similar to Florida's and  
23 California's in the revised protocol solves the  
24 Constitutional problem.

25 The State particularly asserts that

1 the plaintiff should not be able to complain  
2 about the presence of these consciousness checks  
3 in the revised protocol when it complained about  
4 the lack of these same checks in the original  
5 protocol.

6 The State argues that checks for  
7 consciousness similar to those in the revised  
8 protocol are used by 19 of the 36 states that  
9 have adopted the three-drug lethal injection  
10 method. The State claims the Eighth Amendment  
11 does not require the State to eliminate every  
12 risk of pain. It need only eliminate an  
13 objectively <sup>(a)</sup>intolerable risk.

14 The State further contends that  
15 because there is a pause in the process while  
16 consciousness of the condemned is assessed,  
17 there is less opportunity for the sodium  
18 thiopental to interact with the pancuronium  
19 bromide. Consequently, says the State, any  
20 objectively intolerable risk that the condemned  
21 man would be conscious during injection of the  
22 second drug has been eliminated.

23 The State reminded the Court that  
24 like Tennessee, Ohio <sup>also (a)</sup> uses 5 grams of sodium  
25 thiopental in its execution method. During nine

1 executions in Ohio say the State -- says the  
2 State, all nine inmates were dead after  
3 administration of 5 grams of sodium thiopental.  
4 The effective of 5 grams plus the consciousness <sup>(CS)</sup>  
5 check eliminate any objectively intolerable risk  
6 the inmate would be conscious -- that the inmate  
7 would be conscious during the administration of  
8 the second and third chemicals reasons the  
9 State. The State decided before the motion  
10 hearing that the revised protocol and the record  
11 are sufficient for the Court to make its  
12 decision, and the State does not seek an  
13 evidentiary hearing.

14 The issue for the Court to decide  
15 are, one, have the plaintiffs shown that the  
16 revised protocol creates an objectively  
17 intolerable risk of harm that qualifies as cruel  
18 and unusual. In other words, have the  
19 plaintiffs demonstrated that the revised  
20 protocol imposes a substantial risk of serious  
21 harm.

22 Two, have the plaintiffs also shown  
23 that there is an alternative method of execution  
24 that's feasible readily implemented and which  
25 significantly reduces the substantial risk of

1 severe pain.

2 Three, have plaintiffs  
3 alternatively demonstrated that no lethal  
4 injection protocol can significantly reduce the  
5 substantial risk of severe pain.

6 The plaintiff stated at the motion  
7 hearing that the answer to issue number three  
8 is, ~~no~~ <sup>(B)</sup> the plaintiffs must believe there is an  
9 execution protocol which can pass constitutional  
10 muster, although they do not concede what it is  
11 and to be fair <sup>they (B)</sup> do not know what it is.

12 And as for a summary of the  
13 decision, the Court finds that applying the  
14 standards from the plurality opinion in Baze as  
15 directed by the Tennessee Supreme Court, the  
16 revised protocol is Constitutional and does not  
17 violate the Eighth Amendment against --  
18 prohibition against cruel and unusual  
19 punishments.

20 The alternative that the State  
21 presented, the consciousness checks, seem to  
22 take care of the problem, and the plaintiffs  
23 have not come forward with an alternative or  
24 with sufficient proof that the consciousness  
25 checks do not work.

1                   And as for the principles of law in  
2 the case, I'm reading these into the record all  
3 from Baze v Reese, the U.S. Supreme Court case  
4 of 2008. The Eighth Amendment to the  
5 Constitution applicable to the States through  
6 the due process clause of the 14th Amendment  
7 provides that excessive bail should not be  
8 required nor excessive fines imposed, nor cruel  
9 and inhumane punishments inflicted.

10                   We begin with the principle, Greg v  
11 Georgia that capital punishment is  
12 constitutional. It necessarily follows there  
13 must be a means of carrying it out. Some risk  
14 pain is inherent in any method of execution no  
15 matter how humane, if only from the prospect of  
16 error in following the required procedure. It's  
17 clear then, that the Constitution does not demand  
18 the avoidance of all risk of pain in carrying  
19 out executions.

20                   Our U.S. Supreme Court cases recognize  
21 that subjecting individuals to a risk of future  
22 harm, <sup>Simply B</sup> not actively inflicting pain <sup>(C)</sup> can qualify  
23 as cruel and unusual punishment. To establish  
24 that such exposure violates the Eighth  
25 Amendment, however, the conditions presenting

1 the risk must <sup>be</sup> sure or very likely to cause  
2 serious illness and needless suffering and give  
3 rise to sufficiently imminent dangers. We have  
4 explained that to prevail in such a claim, there  
5 must be a substantial risk of serious harm, <sup>any</sup> ~~and~~ <sup>and</sup> <sup>and</sup>  
6 objectively intolerable risk of harm that  
7 prevents prison officials from pleading that  
8 they were subjectively blameless for purposes of  
9 the Eighth Amendment.

10           Simply because an execution method may  
11 result in pain, either by accident or as an or  
12 inescapable consequence of death, does not <sup>CB</sup>  
13 establish the sort of objectively intolerable  
14 risk of harm that qualifies as cruel and  
15 unusual.

16           Given what the U.S. Supreme Court's  
17 cases have said about the nature of the risk of  
18 harm that is actionable under the Eighth  
19 Amendment, a condemned prisoner cannot  
20 successfully challenge a State's method of  
21 execution merely by showing a slightly or  
22 marginally safer alternative.

23           Instead, the proffered alternatives must  
24 effectively address a substantial risk of <sup>Procedure</sup> <sup>(3)</sup>  
25 serious harm. To qualify, the alternative <sup>must</sup>

1 be feasible, readily implemented and, in fact,  
2 significantly reduce a substantial risk of  
3 severe pain. If the State refused<sup>(3)</sup> to adopt such  
4 an alternative in the face of these documented  
5 advantages, without legitimate justification for  
6 adhering to its current method of execution,  
7 then the State's refusal to change its method of  
8 execution can be viewed as cruel and unusual  
9 under the Eighth Amendment.

10 State efforts to implement capital  
11 punishments must certainly comply with the  
12 Eighth Amendment, but what that amendment  
13 prohibits is wanton exposure to objectively  
14 intolerable risk, not simply the possibility of  
15 pain.

16 Justice Ginsburg comments upon the  
17 plurality opinion and she makes some summaries  
18 that I think are applicable here and with --  
19 which I believe are consistent with the  
20 plurality opinion. And Justice Ginsburg says <sup>it is</sup> <sup>(C)</sup>  
21 undisputed that the second and third drugs used  
22 in Kentucky's three-drug lethal injection  
23 protocol pancuronium bromide and potassium  
24 chloride would cause a conscious inmate to  
25 suffer excruciating pain. Pancuronium bromide



1 paralyzes the lung muscles and results in slow  
2 asphyxiation. Potassium chloride causes burning  
3 and intense pain as it circulates through the  
4 body.

5 Pancuronium bromide and potassium  
6 chloride use of -- strike that.

7 Use of pancuronium bromide and potassium  
8 chloride on a conscious inmate, the plurality  
9 recognizes would be constitutionally  
10 unacceptable. The constitutionality of  
11 Kentucky's protocol, therefore, turns on whether  
12 inmates are adequately anesthetized by the first  
13 drug in the protocol, sodium thiopental.

14 Kentucky's protocol lacks basic  
15 safeguards used by other states to confirm that  
16 an inmate is unconscious before injection of the  
17 second and third drugs. And she states she  
18 would vacate and remand with instructions to  
19 consider whether the Kentucky's omission of  
20 these safeguards poses an untoward, readily  
21 avoidable risk of inflicting severe and  
22 unnecessary pain.

23 She goes on to say that she agrees with  
24 the petitioners and with the plurality of  
25 justices, that the degree of risk, magnitude of

1 pain and the availability of alternatives must  
2 be considered. And in her <sup>decent</sup> ~~decent~~, <sup>(CS)</sup> she  
3 specifically discusses the manual checks for  
4 consciousness that are -- that are included in  
5 the revised protocol.

6 And as for analysis of the facts in the  
7 record, the Court previously made findings of  
8 fact in this case, and those are incorporated  
9 into this decision. The revised protocol states  
10 in pertinent part: 5. <sup>(B)</sup> After 5 grams of sodium  
11 thiopental and a saline flush have been  
12 dispensed, the executioner shall signal to the  
13 warden and await further direction from the  
14 warden.

15 6. <sup>(CS)</sup> At this time, the warden shall  
16 assess the consciousness of the condemned inmate  
17 by brushing the back of his hand over the  
18 condemned inmate's eyelashes, calling the  
19 condemned inmate's name and gently shaking the  
20 condemned inmate. Observations shall be  
21 documented.

22 If the condemned inmate is unresponsive,  
23 it will demonstrate that the inmate is  
24 unconscious and the warden shall direct the  
25 executioner to resume with the administration of

1 the second and third chemicals. If the  
2 condemned inmate is responsive, the warden shall  
3 direct the executioner to switch to the  
4 secondary IV line.

5 See contingency issues on Page 67. The  
6 contingency issues referenced above provide if  
7 the condemned inmate is responsive after the  
8 administration of the first chemical and saline  
9 flush, the warden shall check for consciousness  
10 after the sodium thiopental and a saline flush  
11 have been administered. If the condemned inmate  
12 is determined to be responsive by the warden,  
13 the executioner shall switch to the secondary IV  
14 line at the direction of the warden and begin  
15 administration of the second set of chemicals.

16 The revised protocol lethal injection  
17 chemical administration record includes a time  
18 slot for signal to the warden and pause for  
19 consciousness assessment and a time slot for  
20 warden directs resumption of chemical  
21 administration. And this is from the revised  
22 protocol, Pages 85 and 86.

23 The Court read and reread the record  
24 carefully. There is little in the record  
25 addressing the effectiveness of the basic manual

1 consciousness checks in the revised protocol.  
2 Dr. Lubarsky's affidavit expresses his opinion  
3 that the addition of the checks for  
4 consciousness in the revised protocol will not  
5 assure that condemned inmates will remain  
6 unconscious as they experience the effects of  
7 the second and third drugs. He believes that  
8 the inmate who fails to respond to the mild  
9 stimuli can still respond to something far more  
10 noxious and painful and that the addition of the  
11 second and third drugs will awaken the inmate to  
12 a death of suffocation.

13 He says that based upon the serum levels  
14 of sodium thiopental reported in Trial  
15 Exhibit 9, one-half of inmates subjected to the  
16 mild -- mild consciousness checks would respond  
17 to the consciousness checks; while the other  
18 half, not responding would nevertheless  
19 experience the effects of severely painful drugs  
20 two and three.

21 Dr. Lei, the medical examiner, who was  
22 the State's expert at the November hearing  
23 agreed, that if the sodium thiopental levels in  
24 condemned man are low, he would not be surprised  
25 that the inmate would respond to verbal stimuli.

1 According to the revised protocol, if the  
2 inmates do respond, more sodium thiopental will  
3 be administered. The checks for consciousness  
4 based on this reasoning alone are worthwhile.  
5 The Plaintiff's Amended Complaint stated that  
6 the lack of the consciousness check as done in  
7 some other states during the three-drug protocol  
8 was a glaring omission, and this Court agrees.

9 Trial Exhibit 3 consists of the process  
10 and outcome of the Department of Corrections  
11 committee work on the original protocol. In  
12 2007, before Baze was decided, Governor Bredesen  
13 directed the Department of Corrections to  
14 generate a new protocol for execution because  
15 there were some deficiencies in the then current  
16 one. The Governor expressed the goal that the  
17 department ensure that its new protocol be  
18 administered in a constitutional manner. He  
19 also stated that executions carried out under  
20 the protocol in effect in 2007 had been  
21 accomplished in a professional manner.

22 The Department of Corrections 2007  
23 protocol committee investigated three-drug,  
24 two-drug and one-drug execution protocols. On  
25 several occasions during their meeting it was

1 noted that the three-drug protocol might require  
2 a check for consciousness before the second drug  
3 is injected. Several manual methods were  
4 recommended by a physician, <sup>(B)</sup> although, no one  
5 check would always be sufficient.

6 The committee investigation noted <sup>(B)</sup> stated  
7 <sup>(C)</sup> that some commonly used medical testing devices,  
8 such as EKG were not useful for checking  
9 consciousness. The Court finds that simple  
10 manual checks for consciousness of another human  
11 being are common sense. The checks for  
12 consciousness in the revised protocol are  
13 feasible, readily implemented, and the checks  
14 will significantly reduce the substantial risk  
15 of severe pain.

16 Moving to the subject of the one-drug  
17 protocol. There was no testimony from  
18 Dr. Lubarsky or Dr. Lei that addresses the  
19 one-drug protocol. The plaintiffs do not  
20 advocate for this protocol because the  
21 plaintiffs do not wish to choose their method of  
22 execution and because the plaintiffs do not know  
23 enough about it. The plaintiffs believe,  
24 however, that the State has conceded that the  
25 one-drug plan is the Constitutional lethal drug

1 protocol. The Court disagrees.

2 The Department of Correction 2007  
3 protocol committee addressed best practices and  
4 recommended or suggested the one-drug, noting  
5 its pros and cons. The Department of  
6 Correction -- Department commissioner kept the  
7 three-drug<sup>A</sup> <sup>protocol. (B)</sup> Although Baze dealt with the  
8 three-drug protocol that all the parties agreed  
9 was humane and Constitutional if administered  
10 correctly, Baze found merit in use of the second  
11 drug, which the plaintiffs argue paralyzes and  
12 pains without any other benefit.

13 The analysis of the second drug in Baze  
14 shows the second drug may have merit in the  
15 Tennessee execution process. The plaintiffs  
16 also show that Ohio has used the one-drug<sup>A</sup> to <sup>protocol (B)</sup>  
17 execute inmates without incident. A witness's  
18 testimony at trial was, however, <sup>anecdotal (B)</sup> ~~antidotal~~ It  
19 did not prove anything.

20 The record does reflect through  
21 committee notes and minutes the one-drug  
22 protocol will not result in a quick death. The  
23 plaintiffs have not, based on this record  
24 carried their burden to show that the one-drug  
25 protocol or any other protocol is as a matter of

1 fact feasible, readily implemented and it  
2 significantly reduces the substantial risk of  
3 severe pain presented by the revised protocol.

4 As for that decision itself, the Court  
5 takes no pleasure in ruling on a case in which a  
6 life and lives hang in the balance. The Court  
7 finds that applying the standards from the  
8 plurality in Baze as directed by the Tennessee  
9 Supreme Court, the revived protocol is  
10 Constitutional and does not violate the Eighth  
11 Amendment prohibition against cruel and unusual  
12 punishments.

13 The alternative that the State  
14 presented, the consciousness checks, seem to <sup>(a)</sup>  
15 address the consciousness issues. And the  
16 plaintiffs have not come forward with an  
17 alternative or with sufficient proof that the  
18 consciousness checks do not work to  
19 significantly reduce the risk of severe pain.

20 And, lawyers, besides asking that the  
21 State will order the bench ruling and may choose  
22 to attach a summary judgment, I can't think of  
23 any housekeeping or other issues the Court needs  
24 to address.

25 Is there anything else that I failed to



1 do?

2 MR. KISSINGER: Your Honor, the  
3 only think I can think to is possibly a  
4 certification for appellate purposes if that  
5 is -- I'm not really sure what procedural  
6 posture we are in, whether the Supreme Court  
7 thinks we are headed back up there, or just what  
8 process we are supposed to be filing. It might  
9 be useful --

10 THE COURT: Any certification that  
11 you need, I do grant. So that anybody who needs  
12 to go to the Supreme Court -- and I think you  
13 are right. I think they expect -- I think they  
14 expect something. Whatever you need, I grant it  
15 now, as opposed to waiting. I don't think  
16 there's as hurry, but we have done that pretty  
17 consistently in those other hearings.

18 I thank all the lawyers for an  
19 excellent job.

20 (WHEREUPON, THE PROCEEDINGS  
21 CONCLUDED AT APPROXIMATELY 2:30  
22 P.M.)

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**COURT REPORTER'S CERTIFICATE**

**STATE OF TENNESSEE:**

**COUNTY OF DAVIDSON:**

**I, LEILA ZUPKUS NOLAN, Licensed Court Reporter  
and Notary Public, Davidson County, Tennessee,**

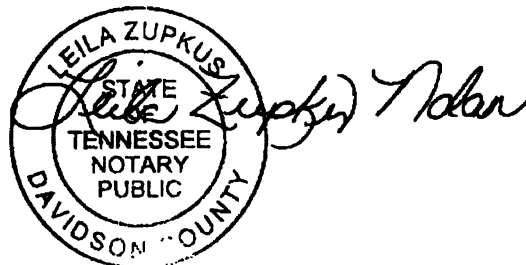
**CERTIFY:**

**1. The foregoing proceeding was taken before me  
at the time and place stated in the foregoing  
styled cause with the appearances as noted;**

**2. Being a Court Reporter, I then reported the  
proceeding in Stenotype to the best of my skill  
and ability, and the foregoing pages contain a  
full, true and correct transcript of my said  
Stenotype notes then and there taken;**

**3. I am not in the employ of and am not related  
to any of the parties or their counsel, and I  
have no interest in the matter involved.**

**WITNESS MY SIGNATURE, this, the  
2nd day of March, 2011.**



**LEILA ZUPKUS NOLAN, TLCR #242  
My license expires: June 30, 2012**